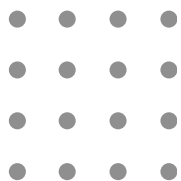




TEAM JACKIE PORTER

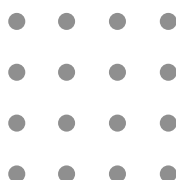


Client Transition Package

Guide, timeline, samples and more.



TEAM JACKIE PORTER



TRANSITION GUIDE



GENERAL INFORMATION

1 REASON FOR TRANSFER

This decision is based on feedback from clients and team members. Over the period of time, clients have expressed frustration with online portals, reports and online statements and consolidation of accounts. Keeping their feedback in mind, Team Jackie Porter has decided to move to iA Private Wealth, that provides better access and better investment options to clients.

2 WHAT IS IA PRIVATE WEALTH?

iA Private Wealth offers tailored wealth management solutions through a network of more than 460 independent Investment Advisor teams. With over \$50 billion in assets under administration, IA are the partner of choice for discerning investors across Canada. iA Private Wealth is owned by iA Financial Group, one of the largest insurance and wealth management groups in Canada, with operations in the United States as well. Learn more about iA Financial Group.

3 WHEN WILL THIS TRANSITION TAKE PLACE?

The transition process is set to begin in January 2025. We will start with the paperwork in January, and accounts will be transferred once your documents are signed and approved by iA's account administration team.

<https://iaprivatewealth.ca>



IMPACT ON YOUR ACCOUNTS

4 IMPACT ON INVESTMENTS

We want to assure you that your current investments will not be negatively impacted by the transition to iA Private Wealth. All holdings will remain intact, and the structure of your portfolio, including your investment goals and strategy, will stay the same unless you choose to make changes. This move primarily affects the administration and servicing of your accounts. The transition to iA is designed to enhance client experience and provide access to additional resources and support.

5 IMPACT ON INSURANCE & SEG FUNDS

Your investments through insurance companies, such as segregated funds (seg funds), will remain under the purview of Carte Risk Management, as these investments are classified as insurance products rather than dealer-managed investments.

6 IMPACT ON FINANCIAL PLANNING CONTRACTS

Your existing planning agreements or contracts will remain fully intact, with no changes to their terms or conditions. These agreements are independent of the dealer transition, so all commitments made in your financial plan will continue as agreed.



FEES AND CHARGES

7 ARE THERE ANY NEW FEES WITH THIS MOVE?

The types of fees typically remain the same for your accounts. Some financial institutions charge a transfer-out fee when moving accounts. This fee is typically imposed by your current dealer (Carte) and can range between \$100 and \$150 per account. Rest assured, I will work with my new dealer, iA Private Wealth, to cover or reimburse these fees as part of ensuring a smooth transition for you. To learn more about fees, click on the link below

<https://transition.helpdocsite.com/fees-and-billing/will-my-fees-change-with-ia-private-wealth>

8 WILL JACKIE'S FEE STRUCTURE CHANGE?

No, the fees paid to the advisor will remain the same unless any investment factors change such as your value of investments or the services provided to you.



DOCUMENTATIONS & REPORTS

9 DO I NEED TO SIGN ANY NEW DOCUMENTS?

Yes as a part of the move, you will have to sign new documents. The documents sent to you would differ based on your unique scenario. This includes, Power of attorney, beneficiary and other info etc.

For most existing client, we will have the information prepopulated on the basic forms for you. You are kindly requested to review all these information carefully and ensure the accuracy.

10 WILL I GET A NEW ONLINE PORTAL?

Yes, iA provides a robust platform with an intuitive interface that allows easy self-registration and password reset, portfolio information at your fingertips, visualization of performance & convenient household management and access share.



YOUR OPTIONS

11 AS AN INVESTOR, YOU HAVE THE FOLLOWING OPTIONS

- STAY WITH YOUR CURRENT FIRM,
- MOVE TO YOUR FINANCIAL ADVISOR'S NEW FIRM OR
- GO TO A NEW FIRM WITH A NEW ADVISOR.

LEARN MORE ABOUT YOUR RIGHTS ON THE CIRO WEBSITE



Transferring Accounts Between Firms

/**/ You may find yourself considering transferring your investment accounts between investment...

Canadian Investment Regulatory Organization | ...



SUPPORT

We recognize that the process can be tough, but rest assured, we're here to support you every step of the way.



MESSAGE US FROM OUR WEBSITE



CALL US AT 365-656-3355



EMAIL US AT adminsupport@askjackie.ca

TIMELINE

&

Transition Process

ADVISOR LICENSE CHANGE

In Jan 2025, our registration will be changed and we will be associated with iA private Wealth.

1

TEMPORARY ACCOUNT MANAGER

Carte will assign you a temporary account manager that will process any trades you wish to process between the time your account is being moved.

2

ACCOUNT OPENING AND DOCUMENT SIGNATURE

We will be sending you prefilled documents for review and signature to open account and process transfer from Carte to iA Private Wealth. In some cases, we might need more updated documents from you. We will reach out to you if that's the case.

3

ACCOUNT REVIEW AND CONTRIBUTIONS SETUP

We will perform an account review and setup and new pre authorised contributions and withdrawals into your account as requested. These setup documents will be sent to you along with the account opening paperwork.

4

TRANSFER TRACKING

In most cases the transfer is almost entirely electronic which makes transferring your account from one firm to another easier than you think. The transfer usually process takes about 20 days, depending on the investments within the account.

5

BUSINESS AS USUAL

That's all! Once your accounts have been moved, its business as usual.

6

WE UNDERSTAND YOU MAY HAVE MORE QUESTIONS OR NEED MORE DETAILS. HENCE, WE HAVE ALSO CREATED A TRANSITIONS WEBSITE FOR YOU THAT ALLOWS YOU TO UNDERSTAND THE PROCESS AND IMPACT IN FURTHER DETAIL. ACCESS THE PAGE BY CLICKING HERE [HTTPS://TRANSITION.HELPDOCSITE.COM/](https://transition.helpdocsite.com/)



STATEMENT SAMPLE



2200 McGill College Ave
Suite 350
Montreal QC H3A 3P8

Investment Portfolio Statement
As of September 30, 2023

STATEMENT MESSAGES
2200 AV MCGILL COLLEGE UNIT 350
MONTREAL QC H3A 3P8

Portfolio Summary

	Last Period: August 31, 2023	This Period: September 30, 2023
CAD Cash Account	\$1.00	\$1.00
Total (CAD)	\$1.00	\$1.00

Portfolio Information

Client ID # 37AQL4

Inside This Statement

CAD Cash 2

*Foreign Exchange Rates

Portfolio Summary is stated in Canadian dollars, according to the month-end conversion rate.

USD 1.00 = CAD 1.354096

CAD 1.00 = USD 0.738500

What's New?

Please see the enclosed insert for our updated Administration Fees and Service Charges, which take effect January 1, 2024.

Contact Information

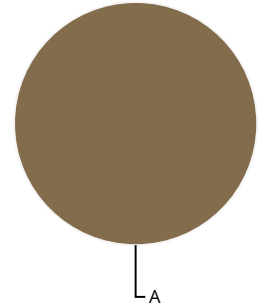
Your Investment Advisor:
IAPW/IAGPP T FEEBASE

Tell us about your experience with iA Private Wealth. We invite you to complete a short survey by using the QR code or by visiting ia.ca/wealth/your-feedback



Investment Mix

	Market Value (\$)	%
A ■ Cash and Equivalents	1.00	100.0
Total Portfolio	1.00	100.0



CAD Cash Account 37AQL4-A Cash Flow Summary

Opening Cash Balance on September 1, 2023				\$ 1.00	
	Activities For This Period			Year-to-Date	
	Deductions (\$)	Additions (\$)	Net Amount (\$)	(\$)	
Investments Bought, Sold or Redeemed	0.00	0.00	0.00	0.00	
Withdrawals or Deposits	0.00	0.00	0.00	1.00	
Interest	0.00	0.00	0.00	0.00	
Dividends	0.00	0.00	0.00	0.00	
Other	0.00	0.00	0.00	0.00	
Total	0.00	0.00	0.00	1.00	
Closing Cash Balance on September 30, 2023				1.00	

Asset Details

Symbol	Quantity	Status	Average Unit Cost (\$)	Book Cost (\$)	Market Price (\$)	Market Value (\$)
Cash and Equivalents						
CASH BALANCE				1.00		1.00
Total Cash and Equivalents				1.00		1.00
Total Account Value - CAD Cash						
				1.00		1.00

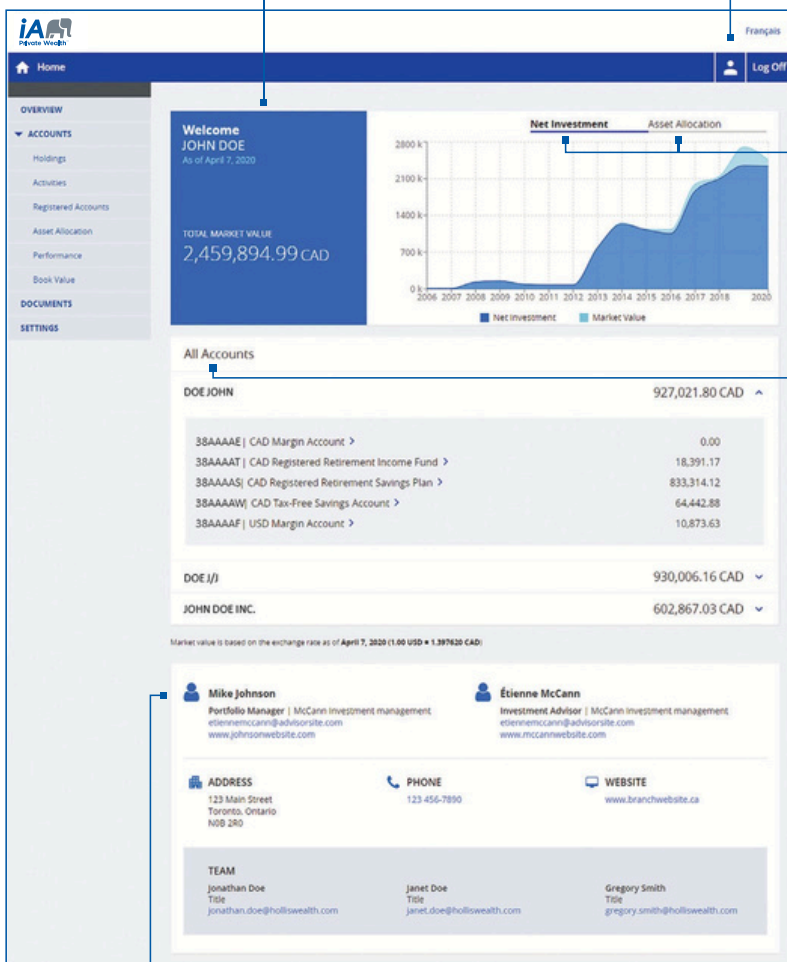
ONLINE PORTFOLIO ILLUSTRATION

Navigating your investment portfolio

Your account overview

Consolidated market value of your household.

Change your account configuration: ·
View personal information and update email
· Change personalized access code for the portal
· Update password · Modify secret questions and answers



Net invested progression and asset allocation graphs.

All your accounts and any shared accounts are displayed here. You can manage which accounts to see on Settings > Household.

Advisor contact information.

IA ACCOUNT ADMINISTRATION FEES

With approximately \$55 billion in assets under administration, iA Private Wealth is a full-service brokerage firm offering tailored wealth management solutions through a network of more than 500 independent Investment Advisor teams.

Annual Fees¹

Account Type	Fee
Registered savings plan (RSP, LIRA and spousal RSP) ²	\$125/year
Retirement income fund (RIF, LIF)	\$125/year
Group registered savings plan	\$50/year
Registered savings plan holding only mutual funds ³	\$50/year
Registered savings plan holding only GICs ³	\$50/year
Registered accounts under fee-based or managed programs ⁴	No fee
Registered education savings plan	\$25/year
Registered disability savings plan	\$75/year
Tax-free savings account (TFSA)	\$50/year
First Home Savings Account (FHSA)	\$25/year

¹ Annual administration fees apply to each self-directed account. The maximum amount charged for holders of multiple accounts is \$250 (based on social insurance number).

² A \$60 fee is applied for each additional plan.

³ For all registered savings plans, excluding FHSA's and RESPs.

⁴ Registered Plans in households below \$100,000 in total assets are subject to the annual Administration Fees unless they are part of a Unified or Separately Managed Account Program, such as Elite UMA, iAPW Strategic Portfolios, Diversiflex, Vintage or iA WealthAssist.

The administration fees for your registered plans are billed annually in the month of June.

All fees are taxable based on the client's province of residence.

Service Charges

Fee Type	Fee
Partial account transfer to another institution	\$100
Account transfers to another institution	\$175/acc.
Certificate registration	\$100/cert.
Partial plan withdrawal: RSP, HBP	\$50
Full plan deregistration	\$150
Certified cheque	\$25
Inactive account fee ⁵	\$50/year
Cheque – insufficient funds	\$30
Cheque stop payment	\$25
Rush transfer	\$250/cert.
Wire transfer	\$25
Ineligible mutual fund	\$10/month
Safekeeping	\$10/month
Search of records	\$50/½ hour

⁵ This fee applies to non-registered accounts valued at \$10,000 or less that hold securities and have not transacted in the past 12 months.

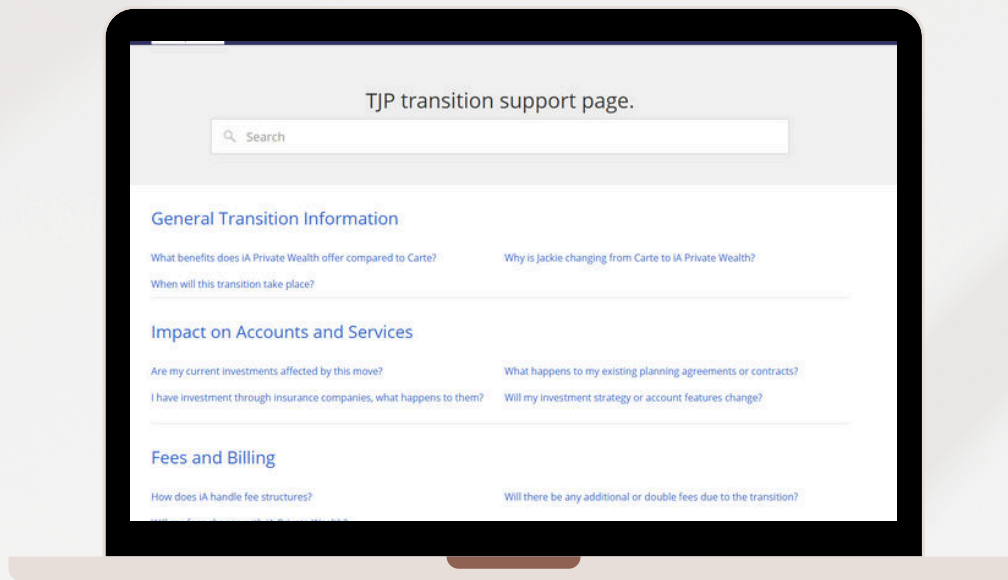


INVESTED IN YOU.



OUR TRANSITION FAQ WEBSITE IS

LIVE NOW



CHECK IT OUT!

[HTTPS://TRANSITION.HELPDOCSITE.COM/](https://transition.helpdocsite.com/)